'BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:)
PHUNG VO HA, M.D.) Case No. 02-2010-211688
Physician's and Surgeon's Certificate No. A 41020)))
Respondent	.)
	_)

DECISION

The attached Stipulated Surrender of License and Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on November 7, 2013

IT IS SO ORDERED October 31, 2013

MEDICAL BOARD OF CALIFORNIA

Kimberly Kirchmeyer
Interim Executive Director

1 2 3 4 5 6 7 8	KAMALA D. HARRIS Attorney General of California JOSE R. GUERRERO Supervising Deputy Attorney General JOSHUA M. TEMPLET Deputy Attorney General State Bar No. 267098 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004 Telephone: (415) 703-5529 Fax: (415) 703-5480 E-mail: Joshua.Templet@doj.ca.gov Attorneys for Complainant BEFORE MEDICAL BOARD O DEPARTMENT OF CON	F CALIFORNIA		
10	STATE OF CALIFORNIA			
11	In the Matter of the Accusation Against:	Case No. 02-2010-211688		
12	PHUNG VO HA, M.D. 30 Los Gatos Circle	OAH No. 2013020645		
13	Sacramento, CA 95831	STIPULATION FOR SURRENDER		
14	Physician and Surgeon Certificate No. A 41020	OF CERTIFICATE		
15	Respondent.			
16				
17	In the interest of a prompt and speedy resolution of this matter, consistent with the public			
18	interest and the responsibility of the Medical Board of California, Department of Consumer			
19	Affairs, (the "Board"), the parties agree to the following Stipulation for Surrender of Certificate,			
20	which will be submitted to the Board for its approval and adoption as the final disposition of Case			
21	No. 02-2010-211688.			
22	<u>PARTII</u>	<u>ES</u>		
23	1. Complainant Kimberly Kirchmeyer b	prought this action solely in her official		
24	capacity as the Interim Executive Director of the Medical Board of California, Department of			
25	Consumer Affairs. She is represented in this matter	by Kamala D. Harris, Attorney General of		
26	the State of California, through Joshua M. Templet,	Deputy Attorney General.		
27	2. Respondent Phung Vo Ha, M.D., is re	2. Respondent Phung Vo Ha, M.D., is represented in this matter by Timothy J.		
28	Aspinwall, Nossaman LLP, 621 Capitol Mall, 25th Floor, Sacramento, CA 95814.			
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JURISDICTION

- 3. On or about June 13, 2008, the Board issued Physician and Surgeon Certificate Number A 41020 to Respondent. Said license is delinquent and expired on November 30, 2011.
- Accusation No. 02-2010-211688 ("Accusation") was filed before the Board and is currently pending against Respondent. The Accusation, together with all other statutorily required documents, was duly served on Respondent at her address of record. A copy of the Accusation is attached as **Exhibit A** and is incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read and understands the charges and allegations in the Accusation. Respondent has also carefully read and understands the effects of this Stipulation for Surrender of Certificate.
- Respondent is fully aware of her legal rights in this matter, including the right to a 6. hearing on the charges and allegations in the Accusation; the right to be represented by counsel at her own expense; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- Respondent voluntarily, knowingly, and intelligently waives and gives up each and 7. every right set forth above.

ACKNOWLEDGMENTS

- Respondent agrees that, at a hearing, Complainant could make a prima facie 8. showing as to the allegations set forth in the Accusation. Respondent hereby gives up her right to contest these charges, and she agrees that her Physician and Surgeon Certificate is subject to discipline.
- 9. Respondent desires and agrees to surrender her Physician and Surgeon Certificate for the Board's formal acceptance, thereby giving up her right to practice medicine in the State of California.

RESERVATION

10. The admissions made by Respondent herein are only for the purposes of this proceeding or any other proceedings in which the Medical Board of California or other professional licensing agency in any state is involved, and shall not be admissible in any other criminal or civil proceedings.

CONTINGENCY

11. This Stipulation shall be subject to the approval of the Board. Respondent understands and agrees that Board staff and counsel for Complainant may communicate directly with the Board regarding this Stipulation, without notice to or participation by Respondent or her attorney. If the Board fails to adopt this Stipulation as its Order in this matter, the Stipulation shall be of no force or effect; it shall be inadmissible in any legal action between the parties; and the Board shall not be disqualified from further action in this matter by virtue of its consideration of this Stipulation.

STIPULATION AND ORDER

IT IS THEREFORE STIPULATED AND ORDERED as follows:

- 1. **SURRENDER** Respondent hereby agrees that she will surrender her wall and wallet Physician and Surgeon Certificates and all other indicia of her right to practice medicine in the State of California to the Board or its representative on or before the effective date of this decision, and the Board agrees to accept this surrender in resolution of this matter.
- 2. **REINSTATEMENT** Respondent fully understands and agrees that if she ever files an application for re-licensure or reinstatement in the State of California, the Board shall treat it as a petition for reinstatement. Respondent must comply with all the laws, regulations and procedures for reinstatement of a revoked license in effect at the time any petition is filed, and she understands and agrees that all of the allegations and causes for discipline contained in the Accusation will be deemed to be true, correct, and admitted by her for purposes of the Board's determination whether to grant or deny the petition. Respondent agrees that she will not petition for reinstatement for at least three years following the effective date of this

STIPULATION FOR SURRENDER OF CERTIFICATE (02-2010-211688)

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ACCEPTANCE

ANDREW HA

I, Phung Vo Ha, M.D., have carefully read the above Stipulation and have discussed its contents with my attorney. I enter into it freely, voluntarily, and with full knowledge of its force and effect, and do hereby agree to surrender my Physician and Surgeon Certificate No. A 41020 to the Medical Board of California for its formal acceptance. By signing this Stipulation to surrender my license, I recognize that as of the effective date of its formal acceptance by the Board, I will lose all rights and privileges to practice as a physician and surgeon in the State of California. Further, if I have not already done so, I will cause to be delivered to the Board my license and wallet certificates on or before the effective date of the decision.

Dated: 10-21-2013

Thung vo Dla PHUNG VO HA, M.D.

Respondent

I have read and fully discussed with Respondent the terms and conditions and other matters contained in the above Stipulation for Surrender of Certificate. I approve its form and content.

Dated:

TIMOTHY J. ASPINWALL, ESO.

NOSSAMAN I.I.P Attorneys for Respondent

ENDORSEMENT This Stipulation for Surrender of Certificate is respectfully submitted for consideration by the Medical Board of California. 10/22/2013 Dated: KAMALA D. HARRIS Attorney General of California Jose R. Guerrero Supervising Deputy Attorney General JOSHUA M. TEMPLET Deputy Attorney General Attorneys for Complainant SA2013309169 40787533.docx

1 2 3 4 5 6 7	KAMALA D. HARRIS Attorney General of California GAIL M. HEPPELL Supervising Deputy Attorney General MIA PEREZ-CASTILLE Deputy Attorney General State Bar No. 203178 1300 I Street, Suite 125 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 322-0762 Facsimile: (916) 327-2247 Attorneys for Complainant		
8	BEFORE THE		
9	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
10	STATE OF CALIFORNIA		
11	In the Matter of the Accusation Against: Case No. 02-2010-211688		
12	PHUNG VO HA, M.D.		
13	30 Los Gatos Circle Sacramento, CA 95831 A C C U S A T I O N		
14			
15	Physician's and Surgeon's Certificate No. A 41020		
16	Respondent.		
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18	Complainant alleges:		
19	PARTIES		
20	1. Linda K. Whitney (Complainant) brings this Accusation solely in her official capacity		
21	as the Executive Director of the Medical Board of California.		
22	2. On or about July 2, 1984, the Medical Board of California issued Physician's and		
23	Surgeon's Certificate Number A 41020 to Phung Vo Ha, M.D. (Respondent). The Physician's and		
24	Surgeon's Certificate expired on November 30, 2011, and has not been renewed.		
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	Accusation		

 3. This Accusation is brought before the Medical Board of California (Board¹), under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.

- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Division deems proper.
 - 5. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

¹ California Business and Professions Code section 2002, as amended and effective January 1, 2008, provides that, unless otherwise expressly provided, the term "[B]oard" as used in the Medical Practice Act refers to the Medical Board of California. References to the "Division of Medical Quality" and "Division of Licensing" set forth in the Medical Practice Act are also referable to the Medical Board of California.

- "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
 - "(f) Any action or conduct which would have warranted the denial of a certificate.
- "(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.
- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview scheduled by the mutual agreement of the certificate holder and the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."
 - 6. Section 820 of the Code states:

"Whenever it appears that any person holding a license, certificate or permit under this division or under any initiative act referred to in this division may be unable to practice his or her profession safely because the licentiate's ability to practice is impaired due to mental illness, or physical illness affecting competency, the licensing agency may order the licentiate to be examined by one or more physicians and surgeons or psychologists designated by the agency. The report of the examiners shall be made available to the licentiate and may be received as direct evidence in proceedings conducted pursuant to Section 822."

7. Section 821 of the Code states:

"The licentiate's failure to comply with an order issued under Section 820 shall constitute grounds for the suspension or revocation of the licentiate's certificate or license."

8. Section 824 of the Code states:

"The licensing agency may proceed against a licentiate under either Section 820, or 822, or under both sections."

9. Section 118, subdivision (b), of the Code provides that the expiration of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary action during the period within which the license may be renewed, restored, reissued or reinstated.

CAUSE FOR DISCIPLINE

(Failure to Comply With Order Pursuant to Section 820)
[Bus. & Prof. Code 821]

- 10. Respondent is subject to disciplinary action under section 821 of the Code in that she failed to comply with an Order to Undergo a Mental and Physical Examination under section 820 of the Code. The circumstances are as follows:
- alleging poor hearing and possible mental impairment. The patient wrote that on December 8, 2010, she went to Respondent for the care and treatment of back muscle pain and possibly a pinched nerve. While in the examination room, Respondent asked the patient the same questions several times. For example, Respondent requested the name of the patient's previous physician approximately five times. In addition to answering the question, the patient wrote the physician's name down and gave it to Respondent at least three times. When the patient could not remember the fax number of and zip code of her previous physician, Respondent became sarcastic and rude. While the door to the examination room was wide open, Respondent lifted up the patient's shirt without first informing her. When the patient asked Respondent if she knew the cause of the back pain, Respondent replied that the only way the cause could be determined would be by performing a blood test.
- 12. On or about May 4, 2011, a Medical Board Investigator met with Respondent and her husband. Initially, they did not want to speak with the investigator. They agreed once the investigator explained that the Medical Board received notice that Respondent may have some hearing problems and was rude to a patient. Respondent said "No." She then turned and spoke to her husband in Vietnamese. Her husband stated she was fine and there was not a problem. The investigator proceeded to ask Respondent questions regarding her health status and current treating physician. She noticed that Respondent was leaning closer to her and not answering all of her questions. The investigator explained that the patient alleged that Respondent left the door

open while she performed the examination. Respondent denied doing so and said she uses a chaperone for pelvic examinations. When the investigator explained that it was not a pelvic examination, Respondent admitted that she did not remember the patient. The investigator then asked Respondent if she would be willing to submit to a physical evaluation. Respondent refused.

- 13. On or about May 13, 2011, the investigator spoke to Respondent's treating physician. The doctor explained that he saw Respondent two or three times per year to manage her blood pressure and cholesterol. He said he had not had her hearing testing nor had he ever performed a neurological examination.
- 14. The investigative file was reviewed by a Medical Board expert who opined: "It is clear that Dr. Ha exhibits possible impediments to the effective practice of medicine as it is presented in this case." In addition to cultural and language impediments, the expert found a hearing impediment which could "have a direct effect on communication" and also a mental impediment. He concluded that "Dr. Ha should undergo a comprehensive evaluation by her primary care physician with consultation with audiology and neurology as seen fit" in order "to safeguard and ensure the safety of the People of California as patents."
- 15. On March 8, 2012, A Petition to Compel Mental and Physical Evaluations was filed by Complainant.
- 16. On March 16, 2012, the Board found there was reasonable cause to believe that Respondent was unable to practice medicine with reasonable skill and safety to patients within the meaning of section 820 and consequently ordered that Respondent undergo a mental and physical evaluation. The Order also stated that the examination of Respondent shall be conducted at a time mutually convenient to Respondent and the examiner(s) selected by the Board, but not later than thirty (30) days from the date of service of the Order.
- 17. On March 16, 2012, an Associate Analyst from the Discipline Coordination Unit of the Medical Board wrote a letter to Respondent, including a copy of the Order Compelling Mental and Physical Evaluations, advising that the examinations shall be conducted no later than